FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 |
|--------------------------|-----------|
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| affirmative defense 10b5-1(c). See Instr | | | | | | | | |
|---|-----------------------|-------|--|---|--|-----------------------|--|--|
| 1. Name and Address <u>Du Michael</u> | of Reporting Person * | | 2. Issuer Name and Ticker or Trading Symbol HANMI FINANCIAL CORP [HAFC] | | tionship of Reporting Perso all applicable) Director | on(s) to Issuer | | |
| (Last) (First) (Middle) 900 WILSHIRE BLVD., SUITE1250 | | , | 3. Date of Earliest Transaction (Month/Day/Year) 03/11/2024 | X | Officer (give title below) Chief Risk (| Other (specify below) | | |
| 900 WILSHIRE E | BLVD., SUITE1230 | | If Amendment, Date of Original Filed (Month/Day/Year) | | | | | |
| (Street) LOS ANGELES | CA | 90017 | 4. If Amendment, Date of Original Filed (World) Day Fear) | X | Form filed by One Repo | orting Person | | |
| (City) | (State) | (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | cecution Date, Transaction | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership |
|---------------------------------|--|---|----------------------------|---|--|---------------|---------|--|---|-------------------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 03/11/2024 | | F | | 197 | D | \$15.77 | 6,852 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | 1. Title of | 2. | 3. Transaction | 3A. Deemed | 4. | | | | | | 7. Title and Amount of | | 8. Price of | 9. Number of | 10. | 11. Nature | ı |
|-----|---------------------|-------------|------------------|------------------|------------------|-----------------|--------------------|-----|------------------|------------------|------------------------|----------------|----------------|--------------|-----------|-------------|---|
| - 1 | Derivative | Conversion | Date | Execution Date, | Transaction | | Derivative | | Expiration Date | | Securities Underlying | | Derivative | derivative | Ownership | of Indirect | L |
| - 1 | Security (Instr. 3) | or Exercise | (Month/Day/Year) | if any | Code (Instr. | | (Instr. Securities | | (Month/Day/Year) | | Derivative Security | | Security | Securities | Form: | Beneficial | L |
| - 1 | | Price of | | (Month/Day/Year) | 8) | 8) Acquired (A) | | | | (Instr. 3 and 4) | | (Instr. 5) | Beneficially | Direct (D) | Ownership | L | |
| | | Derivative | | | or Disposed of | | or Disposed of | | | | Owned | or Indirect | (Instr. 4) | L | | | |
| - 1 | | Security | | | (D) (Instr. 3, 4 | | | | | | Following | (I) (Instr. 4) | | L | | | |
| | | | | | and 5) | | | | 1 | | | Reported | | | L | | |
| - 1 | | | | | | | | | | | | 1 | Transaction(s) | | | L | |
| - 1 | | | | | | l | | | | | | Amount | | (Instr. 4) | | | 1 |
| - 1 | | | | | | l | | | | | | or | | | | | 1 |
| - 1 | | | | | | l | | | Date | Expiration | | Number | | | | | 1 |
| | | | | | Code | l v | (A) | (D) | Exercisable | Date | Title | of Shares | | | | | ı |
| - L | | | | | | | | | | | <u> </u> | | | | l | | 4 |

Explanation of Responses:

/s/ Michael Du

03/13/2024

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $Note: File \ three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{*} If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).