FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

| OMB Number:             | 3235-0287 |
|-------------------------|-----------|
| Estimated average burde | en        |
| houre per reenonee:     | 0.5       |

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 10b5-1(c). See Instr               | uction 10. |          |  |   |   |                                       |  |  |
|------------------------------------|------------|----------|--|---|---|---------------------------------------|--|--|
| 1. Name and Address Chu Christie K |            |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol HANMI FINANCIAL CORP [ HAFC ] | (Check  | tionship of Reporting Person(s<br>all applicable) | ,                                     |  |  |
| (Last)                             | (First)    | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 05/22/2024                      | X   | Director Officer (give title below)               | 10% Owner<br>Other (specify<br>below) |  |  |
|                                    |            |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                         | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person |   |                                       |  |  |
| (Street) LOS ANGELES               | CA         | 90017    |  |   | Form filed by More than Or                        | ne Reporting Person                   |  |  |
| (City)                             | (State)    | (Zip)    |  |   |   |                                       |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction Code (Instr. |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |               |       | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--|---|--------------------------|---|---|---------------|-------|--|---|-------------------------|
|                                 |  |   | Code                     | v | Amount  | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)                                     |   | (Instr. 4)              |
| Common Stock                    | 05/22/2024                                 |   | A                        |   | 4,040(1)  | A             | \$0   | 26,476   | D   |                         |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | <br>3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr.<br>8) |      | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--|---|---|-----------------------------------|------|--|-----|--|---------------------|--|-------|---|--|--|--|
|  |   |   |                                   | Code | v  | (A) | (D)  | Date<br>Exercisable | Expiration<br>Date   | Title | Amount<br>or<br>Number<br>of Shares                 |  | Transaction(s)<br>(Instr. 4)   |  |

#### Explanation of Responses:

1. Restricted Stock grant issued under the 2021 Equity Compensation Plan with vesting over one year from the grant date, May 22, 2024

/s/ Christie Chu

05/23/2024 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).