aUNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(D) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): October 18, 2021

HANMI FINANCIAL CORPORATION

(Exact Name of Registrant as Specified in its Charter)

<u>Delaware</u> (State or Other Jurisdiction of Incorporation) 000-30421 (Commission File No.) 95-4788120 (I.R.S. Employer Identification No.)

900 Wilshire Boulevard, Suite 1250, Los Angeles, California (Address of Principal Executive Offices)

90017 (Zip Code)

Registrant's telephone number, including area code: (213) 382-2200

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

| Securities registered pursuant to Section 12(b) of the Act: | | |
|--|---------------------------------------|--|
| Title of each class | Trading Symbol(s) | Name of each exchange on which registered |
| Common Stock, \$0.001 par value | HAFC | Nasdaq Global Select Market |
| Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below): | | |
| ☐ Written communications pursuant to Rule 425 under the Secur | rities Act (17 CFR 230.425) | |
| ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) | | |
| ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) | | |
| ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) | | |
| Indicate by check mark whether the registrant is an emerging growth corthe Securities Exchange Act of 1934 (§240.12b-2 of this chapter). | mpany as defined in Rule 405 of the S | Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of |
| | | Emerging growth company \square |
| If an emerging growth company, indicate by check mark if the registrant accounting standards provided pursuant to Section 13(a) of the Exchange | | ransition period for complying with any new or revised financial |
| | | |

Item 5.02 <u>Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.</u>

On October 18, 2021, Joseph A. Pangrazio was hired as the Chief Accounting Officer for Hanmi Financial Corporation (the "Company") and its wholly owned subsidiary, Hanmi Bank. Before joining the Company, Mr. Pangrazio, age 62, has held accounting and financial positions for various financial institutions for over 25 years, most recently serving as the Senior Manager, Vice President of Accounting Controls and Reporting for Bank of the West in San Francisco, California from April 2015 until July 2021. Mr. Pangrazio is a certified public accountant and started his career working for Arthur Anderson for four years.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HANMI FINANCIAL CORPORATION

DATE: October 18, 2021 By: /s/ Romolo Santarosa

Romolo Santarosa

Senior Executive Vice President and Chief Financial Officer