## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Ty   | pe Response   | s)                              |  |   |  |   |   |                     |  |  |  |   |   |  |
|--|---|---------------------------------|--|---|--|---|---|---------------------|--|--|--|---|---|--|
| 1. Name and Address of Reporting Person * Ahn John J               |   |                                 |  | 2. Issuer Name and Ticker or Trading Symbol HANMI FINANCIAL CORP [HAFC] |  |   |   |                     |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner  |  |   |   |  |
| (Last) (First) (Middle)<br>3660 WILSHIRE BLVD., PH-A               |   |                                 | 3. Date of Earliest Transaction (Month/Day/Year) 05/13/2020                      |   |  |   |   |                     | Office   | r (give title belo   | w)                                       | Other (specify  | below)  |  |
| (Street)   |   |                                 |  | 4. If Amendmen  | 4. If Amendment, Date Original Filed(Month/Day/Year) |   |   |                     |  | 6. Individual or Joint/Group Filing(Check Applicable Line)  Form filed by One Reporting Person  Form filed by More than One Reporting Person |  |   |   |  |
| LOS ANGELES, CA 90010 (City) (State) (Zip)                         |   |                                 | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |  |   |   |                     |  |  |  |   |   |  |
| 1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Yea |   |                                 |  | Code<br>(Instr. 8)  |  | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |                     | 5. Amount of Securities<br>Beneficially Owned Following<br>Reported Transaction(s)<br>(Instr. 3 and 4) |  |  | 6.<br>Ownership<br>Form:<br>Direct (D)  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|  |   |                                 | (Month/Day/Year)   | Code  | V  | Amount  | (A)<br>or<br>(D)  | Price               | (IIISIF. 3 a   | su. 3 anu 4)   |  |   | (Instr. 4)  |  |
| Common Stock   |   | 05/13/2020                      |  | P   |  | 5,000   | A   | \$<br>7.7832<br>(1) | 26,862   |  | D  |   |   |  |
| Common Stock   |   | 05/13/2020                      |  | P   |  | 2,000   | A   | \$<br>7.7832        | 6,000  |  | I  | By<br>Child I   |   |  |
| Common Stock   |   | 05/13/2020                      |  | P   |  | 2,000   | A   | \$<br>7.7832<br>(1) | 6,000  |  | I  | By<br>Child II  |   |  |
| Reminder:  | Report on a s   | separate line f                 | or each class of secu  | urities beneficially  | owned direc  | ctly o  | r indirectl   | y.                  |  |  |  |   |   |  |
|  |   |                                 |  |   |  | cor   | ntained i   | n this              | form are   | not requ   | ction of inf<br>iired to res<br>OMB cont | spond unle  | ess   | C 1474 (9-02)                                  |
|  |   |                                 | Table II ·   | Derivative Securi   |  |   |   |                     |  | ly Owned   |  |   |   |  |
| Security   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day) | Execution D /Year) any   | 4.  | 5.   | 6. l<br>and<br>(M   | and Expiration Date<br>(Month/Day/Year) An<br>Un<br>Sec |                     | 7. Ti Amo Undo Secu (Inst  | itle and ount of Derivative Security (Instr. 5)  |  | 9. Number<br>Derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | Owner Form of Deriva Securi Direct or Indi          | tive Ownershi<br>ty: (Instr. 4)<br>(D)<br>rect |
|  |   |                                 |  | Code V  | (A) (D)  |   | te<br>ercisable   | Expira<br>Date      | tion Title   | Amount or Number of Shares   |  |   |   |  |

#### **Reporting Owners**

|  | Relationships |              |         |       |  |  |  |
|--|---------------|--------------|---------|-------|--|--|--|
| Reporting Owner Name / Address                                   | Director      | 10%<br>Owner | Officer | Other |  |  |  |
| Ahn John J<br>3660 WILSHIRE BLVD., PH-A<br>LOS ANGELES, CA 90010 | X             |              |         |       |  |  |  |

#### **Signatures**

| /s/ John Ahn                    | 05/15/2020 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$7.715 to \$7.8725. The price reported above reflects the weighted average purchase price. The (1) reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.