| FORM 4 | | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | N | OMB APPROVAL | | | |
|--|---|---|--------------------------|----------------|--|---------------|--|---|---------------------------------------|---|---|--|--|
| | | | | | | | | | | OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | |
| 1. Name and Addres | | | | | | | 6. | Relationship of Reporting (Check all applicable) | ship of Reporting Person(s) to Issuer | | | | |
| Youk | Chung | Hoon | | Hanmi Fina | ncial Corporation | (HAFC) | AFC) | | | Director | 10% Owner | | |
| (Last) (First) | | (Middle) | 3. | | fication Number Person, if an ttary) | | Statement for Month/Day/Year | | | X Officer (give title below) | Other (specify b | elow) | |
| 2028 W. 236th Pl | ace | | | | | 08/0 | 2 | | | CEO | | | |
| | (Street) | | | | | Orig | mendment, l inal nth/Day/Yea | | 7. | Individual or Joint/Group (Check Applicable Line) X Form filed by One Form filed by Mo | Reporting Person pre than | | |
| Torrance, | CA | 90501 | | | | | | | | One Reporting Pe | rson | | |
| (City) | (State) | (Zip) | Tab | ole I — Non-De | rivative Securit | ies Acquired, | Disposed of | , or Beneficial | ly Ow | ned | | | |
| 1. Title of Security 2 (Instr. 3) | 2. Transaction Date (Month/Day/Year) | if a | | | Transaction Cc (Instr. 8) | D | ecurities Acc isposed of (l nstr. 3, 4 and | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code V | А | | (A) or Prio (D) | e | | | | |
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| FORM 4 (Continued) | | | | Tab | ole II — Derivat (<i>e.g.</i> , put | | | Disposed of, or s, convertible | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion o Exercise Pric of Derivative Security | e (Month | ction Date /Day/Year) | 3A. | Deemed Execu if any (Month/Day/Y | <i>.</i> | . Transaction Code (Instr. 8) | | See Dis | mber of Derivative curities Acquired (A) or sposed of (D) str. 3, 4, and 5) | Date Exercisable and Expiration Date (Month/Day/Year) | | |
| | | | | | | - | Code | V | (A) |) (D) | Date Exercisable | Expiration Date | |
| Stock Option | \$7.26 | 10/22/0 | 2 | | | | | | | 30,979 | | 10/31/02 | |
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| 7. | Title and An (Instr. 3 and | e and Amount of Underlying Securities tr. 3 and 4) | | 8. | Price of Derivative Security (Instr. 5) | 9. | Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. | Ownership Form of Derivative Securities: Direct (D) or Indirect (I) (Instr. 4) | 11. | Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|-------------------------------|--|---|-----------|--|------------------------|---|-----|--|-----|---|
| | | Title | Amount or Number of Shares | | | | | | | | |
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| Exp | anation of Res | sponses: | | | | | | | | | |
| | | | /s/ CHUNG YO | OUK | | | | | 10/22/02 | | |
| | **Signature of Report | | | rting Per | son | | | | Date | | |
| | | | ate line for each class of securities b | | | | | | | | |
| | | | by more than one reporting persor | | | | | | | | |
| | ** | ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). | | | | | | | | | |
| Note: File three copies of this Form, one of which must be | | | | | y signed. If space is insufficient, s | ction 6 for procedure. | | | | | |

http://www.sec.gov/divisions/corpfin/forms/form4.htm Last update: 09/05/2002