| FORM | 4 |
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(Print or Type Perpense)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person – Yoon Shick | 2. Issuer Name and HANMI FINANO | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director10% Owner | | | | | |
|---|--|--|---------------|-------|---|---|---|--|--|-------------------------|--|
| (Last) (First) 3660 WILSHIRE BLVD. SUITE PH- | | 3. Date of Earliest Transaction (Month/Day/Year) 08/28/2013 | | | | | X_Officer (give title below) Other (specify below) EVP & Chief Financial Officer | | | | |
| (Street) LOS ANGELES, CA 90010 | | 4. If Amendment, Da | te Original I | Filed | Month/Day/Y | ('ear) | 6. Individual or Joint/Group Filing(Cheek Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) (State) | (Zip) | Table I - Non-Derivative Securities Acqu | | | | | | ired, Disposed of, or Beneficially Owned | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution Date, if | (Instr. 8) | ion | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership | |
| Common Stock Grant | 08/28/2013 | | A | v | Amount 12,500 | (D) A | \$ 0 (1) | 18,311 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained SEC 1474 (9-02) in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | | | | (e.g., pu | ts, c | alls, warı | ants | , options, conv | ertible securiti | ies) | | | | | |
|-------------|-------------|------------------|--------------------|------------|-----------|-------------|-------------------------|----------------------------|---------------------|---------------|--------------|--------------|----------------|-------------|-------------|
| 1. Title of | 2. | 3. Transaction | 3A. Deemed | 4. | 5. Number | | 6. Date Exercisable and | | 7. Title and Amount | | 8. Price of | 9. Number of | 10. | 11. Nature | |
| Derivative | Conversion | Date | Execution Date, if | Transact | ion | of Deriva | tive | Expiration Date | | of Underlying | | Derivative | Derivative | Ownership | of Indirect |
| Security | or Exercise | (Month/Day/Year) | any | Code | | Securitie | 1 | | Securities Securit | | Security | Securities | Form of | Beneficial | |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | | | | (Instr. 3 and 4) (Instr. 5 | | (Instr. 5) | Beneficially | Derivative | Ownership | | |
| | Derivative | | | | | or Disposed | | | | | | 2 | (Instr. 4) | | |
| | Security | | | | | of (D) | | | | | 0 | Direct (D) | | | |
| | | | | | | (Instr. 3, | 4, | | | | | | 1 | or Indirect | |
| | | | | | | and 5) | | | r | | | | Transaction(s) | | |
| | | | | | | | | | | | Amount | | (Instr. 4) | (Instr. 4) | |
| | | | | | | | | Date | Expiration | | or | | | | |
| | | | | | | | | Exercisable | Date | Title | Number | | | | |
| | | | | C 1 | v | | | | | | of | | | | |
| | | | | Code | V | (A) | (D) | | | | Shares | | | | |
| Option | | | | | | | | | | Option | | | | | |
| for | | | | | | | | | | for | | | | | |
| Common | \$ 16.43 | 08/28/2013 | | A | | 25,000 | | 08/28/2014 | 08/28/2023 | Common | 25,000 | <u>(2)</u> | 30,500 | D | |
| Stock | | | | | | | | | | Stock | | | | | |
| Stock | | | | | | | | | | SIOCK | | | | | |

Reporting Owners

| | Relationships | | | | | | | |
|---|---------------|--------------|-------------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| Yoon Shick 3660 WILSHIRE BLVD. SUITE PH-A LOS ANGELES, CA 90010 | | | EVP & Chief Financial Officer | | | | | |

Signatures

| /s/ Shick (Mark) Yoon | 08/30/2013 |
|-------------------------------|------------|
| Signature of Reporting Person | Date |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Stock grant issued under the 2013 Equity Compensation Plan and will vest ratably in equal installments over three years.

(2) Stock option issued under the 2013 Equity Compensation Plan and will vest ratably in equal installments over three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.